RETURN AFFIDAVIT TO: MINORITY BUSINESS ENTERPRISE OFFICE MARYLAND DEPARTMENT OF TRANSPORTATION 7201 CORPORATE CENTER DRIVE HANOVER, MARYLAND 21076



Complete all items. If an item does not apply, mark "N.A."

Use separator sheets for additional information.

410-865-1269 1-800-544-6056

## MINORITY BUSINESS ENTERPRISE DISCLOSURE AFFIDAVIT

Application is hereby made by the organization identified below for certification as a Minority Business Enterprise under the MBE Program of the Maryland Department of Transportation pursuant to Title 14, Subtitle 3 of the State Finance and Procurement Article of the Annotated

1. NAME AND ADDRESS         Name		2. CONTROLLING INTEREST (check appropriate box)         African American       Alaskan Native       CITIZENSHIP         Hispanic       Asian American       U.S. Citizen         Native American       *Disabled       Resident Alien         Female       *Not accorded minority status on Federally funded projects.			
3. CONTACT PERSON Name Title TelephoneFax		Title	Fax		
5. LIST THE NAMES OF THE OFFICERS OF THE COMPAN PRESIDENT			DATES ELECTED /	APPOINTED	
6. NAMES OF CURRENT BOARD OF DIRECTORS	MINORITY YES NO	DATE ELECTED	HOME ADDR (NUMBER, STREET, CITY, S		
7. NAMES OF BOARD OF DIRECTORS IMMEDIATELY PRIOR TO CURRENT BOARD	MINORITY YES NO	DATE ELECTED	HOME ADDR (NUMBER, STREET, CITY, S		

8. LIST OF PRODUCT(S)/SERVICE(S) OFFERED. BE SPECIFIC. IF KNOWN, LIST NORTH AMERICAN INDUSTRY CLASSIFICATION SYSTEM (NAICS) CODE NUMBER FOR EACH ITEM LISTED.

9. TYPE OF OWNERSHIP? IF NOT A I COPY OF REGISTRATION IN MARYLA (CHECK ONE)	MARYLAND CORPORATION, SUBMIT A ND AS A FOREIGN CORPORATION	10. DOES YOUR COMPANY OWN MAJOR EQUIPMENT?         YES       NO         List on a separate sheet, by type and quantity, major equipment owned.         DO NOT LIST RENTAL OR LEASED EQUIPMENT.		
Corporation Date incorporated Partnership Date incorporated Date of LLC Agreement	State			
		Is the equipment listed in your possession?		
		FORM D-EEO-OO1A (JULY—2003) A material misstatement of fact is sufficient cause for denial of certification. The affiant is subject to penalties for perjury and false statements made in the affidavit. D-EEO-001-A(7-03)		

11. LIST NUMBER OF EMPLOYEES ON PAYROLL (DO NOT LIST EMPLOYEES TWICE)         FULL TIME         ADMINISTRATIVE         CLERICAL         SUPERVISOR         EQUIPMENT OPERATOR         SKILLED LABORER         UNSKILLED LABORER         ARE EMPLOYEE PAYROOL REPORTS BEING FILED WITH:         STATE AGENCIES         PLEASE SUBMIT THE LAST FOUR (4) QUARTERLY REPORTS.         14. WHO DETERMINES WHAT JOBS THE COMPANY WILL UNDERTAKE?         Name	12. FEDERAL IDENTIFICATION NUMBER         SOCIAL SECURITY NUMBER         MD EMPLOYER NUMBER         13. HAVE YOU PREVIOUSLY APPLIED FOR OR BEEN MDOT:         □ CERTIFIED         □ DENIED         (PLEASE CHECK)         TO APPLY FOR MDOT CERTIFICATION, YOU MUST BE CERTIFIED IN YOUR         HOME STATE. PLEASE SUBMIT A COPY OF YOUR HOME         CERTIFICATION LETTER AND ON-SITE REPORT.         15. WHO WILL BE RESPONSIBLE FOR ON-SITE PROJECT SUPERVISION?         NAME
Title	TITLE
NAME         YES         NO         PREF	DMMON OR NUMBER OF VOTING TOTAL DATE OF ERRED SHARES PERCENTAGE COST OWNERSHIP
	R IN PART BY ANOTHER COMPANY, LIST ON A SEPARATE SHEET TO INCLUDE PERCENTAGE OF OWNERSHIP INTEREST, AND THE
<ul> <li>17. LIST THE 3 LARGEST PROJECTS IN DOLLAR AMOUNTS COMPLETED BY YOUR</li> <li>(1) A. PRIME CONTRACTOR</li> <li>Name</li> </ul>	BUSINESS DURING THE LAST THREE YEARS
Address City	State ZIP CODE
Telephone	
<ul> <li>B. PROJECT IDENTIFICATION:</li></ul>	IOWN):
(2) A. PRIME CONTRACTOR Name	
Address City	State ZIP CODE
<ul> <li>B. PROJECT IDENTIFICATION:</li> <li>C. TOTAL DOLLAR AMOUNT OF MBE PORTION OF THIS PROJECT: YOUR SHARE OF THE MBE PORTION:</li> </ul>	
D. TYPE OF WORK PERFORMED (USE SIC AND NAICS CODES, IF KNO (3) A. PRIME CONTRACTOR	DWN):
Name	
Address City	State ZIP CODE
Telephone	
<ul> <li>B. PROJECT IDENTIFICATION:</li></ul>	
D. TYPE OF WORK PERFORMED (USE SIC AND NAICS CODES, IF KN	IOWN):FORM D-EEO-OO1A (JULY—2003)

A material misstatement of fact is sufficient cause for denial of certification. The affiant is subject to penalties for perjury and false statements made in the affidavit.

18. LIST ALL SOURCES AND AMOUNTS OF MONEY LOANED TO THE CORPORATION		20. HAS YOUR FIRM BEEN APPROVED BY THE FEDERAL SMALL BUSINESS ADMINISTRATION 8(a) PROGRAM?			
SOURCE	AMOUNT	L YES L NO			
		21. NAME, ADDRESS AND TELEPHONE NUMBER OF CPA OR ACCOUNTANT			
		NameAddress			
		City			
19. IDENTIFY YOUR CURRENT BON BONDING COMPANY	DING COMPANY AND BANK(S) BANK ACCOUNT NUMBERS	State Zip Code Telephone			
		22. NAME, ADDRESS AND TELEPHONE NUMBER OF CPA OR ACCOUNTANT			
		Name			
		Address			
23. WERE YOU ISSUED A PERFORM		City Zip Code			
		Telephone			
24. WHO NEGOTIATES AND SIGNS		GNS FOR INSURANCE AND PAYROLL?			
A. SURETY AND / OR PERFORMAN		AME TITLE			
B. INSURANCE					
C. PAYROLL					
		D TO WRITING AND SUBMITTED WITH THIS AFFIDAVIT. IF THERE ARE NO \ HE OPERATION OF THE COMPANY, PLEASE AFFIRM BY SIGNING BELOW.	WRITTEN,		
		EN, ORAL OR TACIT AGREEMENTS CONCERNING			
		OF THE COMPANY BETWEEN ANY PERSONS CIATED WITH THE COMPANY"			
	SI	IGNATURE OF APPLICANT			
FREEDOM OF INFORM	IATION: THE RELEASE OF STATE DO	CUMENTS IS GOVERNED BY THE APPROPRIATE FEDERAL AND STATE REGULATIONS.			
		FRAUD			
C	RAUDULENTLY OBTAIN, RETAIN DBTAINING OR RETAINING OR A NTERPRISE FOR THE PURPOSE (	N, ATTEMPT TO OBTAIN OR RETAIN, OR AID ANOTHER IN FRAUDULENTLY ATTEMPTING TO OBTAIN OR RETAIN CERTIFICATION AS A MINORITY BUSIN OF THIS SUBTITLE:	IESS		
S	STATE OFFICIAL OR EMPLOYEE F	EMENT, WHETHER BY AFFIDAVIT, REPORT, OR OTHER REPRESENTATION, T FOR THE PURPOSE OF INFLUENCING THE CERTIFICATION OR DENIAL OF AS A MINORITY BUSINESS ENTERPRISE:	Ο A		
		/PT TO OBTAIN, OR AID ANOTHER PERSON IN FRAUDULENTLY OBTAINING IC MONIES TO WHICH THE PERSON IS NOT ENTITLED UNDER THIS SUBTITL			
م ا	ANY PERSON WHO VIOLATES TH	HE PROVISIONS OF SUBSECTION IS GUILTY OF A FELONY AND UPON CONVI FOR A PERIOD OF NOT LONGER THAN 5 YEARS, OR FINE OF NOT MORE TH	ICTION		
N P II (	MINORITY BUSINESS ENTERPRIS PROVISIONS OF THIS SUBSECTIO MPRISONMENT FOR A PERIOD (	MAKE FALSE STATEMENTS THAT ANY ENTITY IS OR IS NOT CERTIFIED AS A SE FOR PURPOSES OF THIS SUBTITLE. ANY PERSON WHO VIOLATES THE ON IS GUILTY OF A MISDEMEANOR AND UPON CONVICTION IS SUBJECT TO OF NOT MORE THAN 6 MONTHS, OR A FINE OF NOT MORE THAN \$500.00 'HE STATE FINANCE AND PROCUREMENT ARTICLE OF THE ANNOTATED			
I	HAVE READ THE FRAUD STATU	ITE			
		SIGNATURE OF APPLICANT			
		FORM D-EEO-OO1A (JULY—2003)			
		A material misstatement of fact is sufficient cause t certification. The affiant is subject to penalties for false statements made in the affidavit.			
			01-A(7-03)		

THIS DISCLOSURE AFFIDAVIT INCLUDES ALL MATERIAL INFORMATION NECESSARY TO IDENTIFY AND TO EXPLAIN THE OPERATIONS OF (NAME OF BUSINESS)

(HEREINAFTER "APPLICANT") IN ORDER TO DETERMINE IF APPLICANT IS A BONAFIDE MINORITY BUSINESS ENTERPRISE WHICH IS OWNED AND CONTROLLED BY MINORITIES IN ACCORDANCE WITH THE REQUIREMENTS OF THE MARYLAND DEPARTMENT OF TRANSPORTATION MINORITY BUSINESS ENTERPRISE PROGRAM MANUAL. FURTHER, THE UNDERSIGNED DOES COVENANT AND AGREE TO PROVIDE THE MARYLAND DEPARTMENT OF TRANSPORTATION INFORMATION REGARDING ACTUAL WORK PERFORMED ON A MARYLAND DEPARTMENT OF TRANSPORTATION PROJECT, THE PAYMENT THEREFORE, AND ANY PROPOSED CHANGES IN ANY OF THE ARRANGEMENTS HEREINABOVE STATED AND TO PERMIT AN AUDIT, TO INCLUDE INTERVIEW OF PRINCIPALS, EMPLOYEES, AND OFFICERS AND AN EXAMINATION OF THE BOOKS, RECORDS, AND FILES OF THE APPLICANT BY AUTHORIZED REPRESENTATIVES OF THE MARYLAND DEPARTMENT OF TRANSPORTATION OR THE FEDERAL GOVERNMENT PRIOR TO AND AFTER INCLUSION IN THE MARYLAND DEPARTMENT OF TRANSPORTATION OR THE FEDERAL GOVERNMENT PRIOR TO AND AFTER INCLUSION IN THE MARYLAND DEPARTMENT OF TRANSPORTATION MINORITY BUSINESS ENTERPRISE DIRECTORY AS DEEMED NECESSARY.

I ACKNOWLEDGE AND AGREE THAT REPRESENTATIVES OF THE MARYLAND DEPARTMENT OF TRANSPORTATION SHALL BE PERMITTED TO MAKE INQUIRIES OF CREDIT BUREAUS, BANKS, LENDING INSTITUTIONS, BONDING COMPANIES, VENDORS, SUPPLIERS, INSURANCE COMPANIES, AND PRIOR AND CURRENT CONTRACTORS CONCERNING THE FINANCIAL RESPONSIBILITY OF APPLICANT.

I ACKNOWLEDGE THAT THIS AFFIDAVIT IS TO BE FURNISHED TO THE SECRETARY OF THE MARYLAND DEPARTMENT OF TRANSPORTATION AND MAY BE DISTRIBUTED TO THE MARYLAND DEPARTMENT OF TRANSPORTATION MINORITY BUSINESS ENTERPRISE ADVISORY COMMITTEE AND MAY ALSO BE DISTRIBUTED TO BOARDS, COMMISSIONS, ADMINISTRATIONS, DEPARTMENTS AND AGENCIES OF: (1) THE STATE OF MARYLAND; AND (2) COUNTIES OR OTHER SUBDIVISIONS OF THE STATE OF MARYLAND (3) OTHER STATES; AND (4) THE FEDERAL GOVERNMENT. I FURTHER ACKNOWLEDGE THAT THIS AFFIDAVIT IS SUBJECT TO APPLICABLE LAWS OF THE UNTIED STATES AND THE STATE OF MARYLAND, BOTH CRIMINAL AND CIVIL, AND THAT NOTHING IN THIS AFFIDAVIT SHALL BE CONSTRUED TO SUPERSEDE, AMEND, MODIFY, OR WAIVE ON BEHALF OF THE MARYLAND DEPARTMENT OF TRANSPORTATION, THE MARYLAND BOARD OF PUBLIC WORKS AND ANY OTHER OFFICE OR AGENCY OF THE STATE OF MARYLAND HAVING JURISDICTION, THE EXERCISE OF ANY STATUTORY RIGHT OR REMEDY CONFERRED BY THE CONSTITUTION AND THE LAWS OF MARYLAND IN RESPECT TO ANY MISREPRESENTATION MADE OR ANY VIOLATION OF THE OBLIGATIONS, TERMS AND COVENANTS UNDERTAKEN BY THE ABOVE FIRM IN RESPECT TO THIS AFFIDAVIT.

I ACKNOWLEDGE AND AGREE THAT THE APPLICANT WILL BE REQUIRED TO APPEAR FOR INTERVIEW BY THE MARYLAND DEPARTMENT OF TRANSPORTATION MINORITY BUSINESS ENTERPRISE ADVISORY COMMITTEE.

I ACKNOWLEDGE THAT THE ELIGIBILITY OF THE APPLICANT FOR CERTIFICATION AS A MINORITY BUSINESS ENTERPRISE WILL BE DETERMINED AS OF THE DATE OF THE DISCLOSURE AFFIDAVIT, BASED ON THE INFORMATION AND DOCUMENTATION SUBMITTED HEREWITH, ANY CHANGES IN OWNERSHIP OR CONTROL MAY NOT BE CONSIDERED IN DETERMINING ELIGIBILITY.

I DO SOLEMNLY DECLARE AND AFFIRM UNDER THE PENALTIES OF PERJURY THAT THE CONTENTS OF THE FOREGOING DOCUMENT ARE TRUE AND CORRECT, AND THAT I AM AUTHORIZED, ON BEHALF OF THE ABOVE FIRM, TO MAKE THIS AFFIDAVIT.

DATE (MM/DD/YYYY)		SIGNATURE OF APPLICANT		
		TITLE		
NOTARY CERTIFICATE				_
STATE OF:	COUNTY (CITY) OF	·		
ON THE: OF (DAY) (MONTH) (	YEAR) BEFORE ME.			
THE UNDERSIGNED OFFICER, PERS KNOWN TO ME TO BE PERSON DE SAME IN THE CAPACITY THEREIN S THEREIN ARE TRUE AND CORRECT	SCRIBED IN THE FOREGOING STATED AND FOR THE PURPO	SES THEREIN CONTAINED AN	DGED THAT HE (SHE) EXECUTED THE NDT HAT THE STATEMENTS CONTAINED OFFICIAL SEAL.	
			SEAL	
NOTARY PUBLIC				
MY COMMISSION EXPIRES				
ТТҮ (410	) 865-1342; Indicate any s (interpreter, large p	special needs or alternati print, Braille, etc.) by calli		_
VOICE (410) 865-1269 1-800-544-6056	TTY (410) 865-1342	FAX NUMBER (410) 865-1309	INTERNET www.mdot.maryland.gov	

## Statement of Disadvantage

Socially disadvantaged individuals are those who have been subjected to racial or ethnic prejudice or cultural bias within American society because of their identities as members of groups and without regard to their individual qualities. Economically disadvantaged individuals are socially disadvantaged individuals whose ability to compete in the free enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same or similar line of business who are not socially disadvantaged. An individual whose personal net worth exceeds <u>one million eight hundred forty-seven thousand twenty-four dollars</u> (\$1,847,024) is not economically disadvantaged.

I hereby certify that I have read and understand the above statement and that I am both socially and economically disadvantaged.

Date	Na				
State of Maryland	COUNTY	) ) )	το wit:		-

I HEREBY CERTIFY, that on the \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_, before the subscriber, a notary public of the State of Maryland, in and for the County aforesaid, personally appeared \_\_\_\_\_\_, known to me to be the person whose name is subscribed to the within instrument and acknowledged that he/she executed the same for the purposes therein contained.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

Notary Public

My Commission expires: